

从塑料废料中提取闪蒸石墨烯

Flash Graphene from Plastic Waste

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在这项工作中，提出了一种回收塑料废物产品的方法。

ABSTRACT: In this work, an approach to upcycling plastic waste (PW) products is presented. The method relies on flash Joule heating (FJH) to convert PW into flash graphene (FG). In addition to FG, the process results in the formation of carbon oligomers, hydrogen, and light hydrocarbons. In order to make high-quality graphene, a sequential alternating current (AC) and direct current (DC) flash is used. The FJH process requires no catalyst and works for PW mixtures, which makes the process suitable for handling landfill PW. The energy required to convert PW to FG is ~ 23 kJ/g or ~ 125 in electricity per ton of PW, potentially making this process economically attractive for scale-up. The FG was characterized by Raman spectroscopy and had an I_{2D}/I_G peak ratio up to 6 with a low-intensity D band. Moreover, transmission electron microscopy and X-ray diffraction analysis show that the FG is turbostratic with an interlayer spacing of 3.45 Å. The large interlayer spacing will facilitate its dispersion in liquids and composites. Analysis of FG dispersions in 1% Pluronic aqueous solution shows that concentrations up to 1.2 mg/mL can be achieved. The carbon oligomers that distilled from the process were characterized by Fourier transform infrared spectroscopy and have chemical structures similar to the starting PW. Initial analysis of gas-phase products shows the formation of considerable amounts of hydrogen along with other light hydrocarbons. As graphene is naturally occurring and shows a low toxicity profile, this could be an environmentally beneficial method to upcycle PW.

该方法依靠闪蒸焦耳加热 (FJH) 将 PW 转化为闪蒸石墨烯 (FG)。为了制备高质量的石墨烯，使用了顺序交流 (AC) 和直流 (DC) 闪蒸。FJH 工艺不需要催化剂，适用于 PW 混合物，因此该工艺适用于处理垃圾填埋 PW。将 PW 转化为 FG 所需的能量为 23 kJ/g 或每吨 PW 125 美元，这可能使该工艺在经济上具有扩大规模的吸引力。

此外，透射电子显微镜和 X 射线衍射分析表明，FG 为涡轮层结构，层间距为 3.45 Å。较大的层间距将有助于其在液体和复合材料中的分散。通过傅立叶变换红外光谱对从该过程中蒸馏出的碳低聚物进行了表征，其化学结构类似于起始 PW。

气相产物的初步分析表明，大量氢和其他轻烃一起形成。由于石墨烯是天然存在的，并且毒性较低，因此这可能是一种对 PW 进行升级循环的环境有益方法。

关键词：塑料废料、微塑料、回收、上循环、石墨烯、复合材料

KEYWORDS: plastic waste, microplastics, recycling, upcycling, graphene, composites

塑料废弃物污染已成为 21 世纪最紧迫的环境问题之一。

大量 PW 最终进入垃圾填埋场和海洋，导致形成微塑料和纳米塑料，威胁海洋生物、微生物、有用细菌和人类。

此外，石油化工产品的塑料生产具有高碳足迹。必须对原油进行提取、蒸馏、精炼和净化，以形成石化原料，然后在排放大量温室气体的复杂能源密集型设施中进一步加工以生产塑料。

在塑料成型过程中以及运输给客户时，会排放额外的温室气体。在这种强烈的碳足迹过程之后，大多数合成塑料在倾倒到过度应力的垃圾填埋场或终止于海洋的水道之前只使用一次。

因此，将 PW 升级为更高价值的材料和化学品具有环境和经济优势。Thus, upcycling PW to higher value materials and chemicals is environmentally and economically advantageous.

为了减少 PW 的数量，人们将大量精力投入到物理回收方面，即对塑料进行多次洗涤剂清洗，并对其进行再利用。

然而，物理回收有主要缺点，包括需要在研磨、研磨和杀菌之前对塑料进行人力密集型分类。

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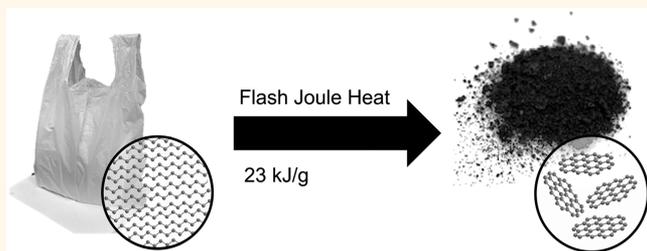
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通过拉曼光谱对 FG 进行了表征，其 I_{2D}/I_G 峰比高达 6，具有低强度 D 带。

此外，透射电子显微镜和 X 射线衍射分析表明，FG 为涡轮层结构，层间距为 3.45 Å。

较大的层间距将有助于其在液体和复合材料中的分散。FG 在 1% Pluronic 水溶液中的分散分析表明，可以达到 1.2 mg/mL 的浓度。

通过傅立叶变换红外光谱对从该过程中蒸馏出的碳低聚物进行了表征，其化学结构类似于起始 PW。

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化学循环，即 PW 在惰性气氛中热解，有时在催化剂存在下，将塑料分解成更小的分子和油。

此外，PW 热解涉及将大型反应器加热至 500–600 °C，消耗大量能源，同时使化学形成成本高昂，碳足迹大，消耗可观的能量。

然而，化学回收的另一个缺点是，由于 PW 中存在添加剂和增塑剂等污染物，在热解过程中催化剂中毒。

因此，在化学循环之前，必须对 PW 进行预处理以提取无机添加剂，以避免催化剂中毒。

到目前为止，大多数报道的回收技术都不符合成本效益，因此只有 9% 的生产塑料被回收。

因此，寻求更绿色的回收或升级技术，后者发生在产品达到高于起始塑料的价值时。

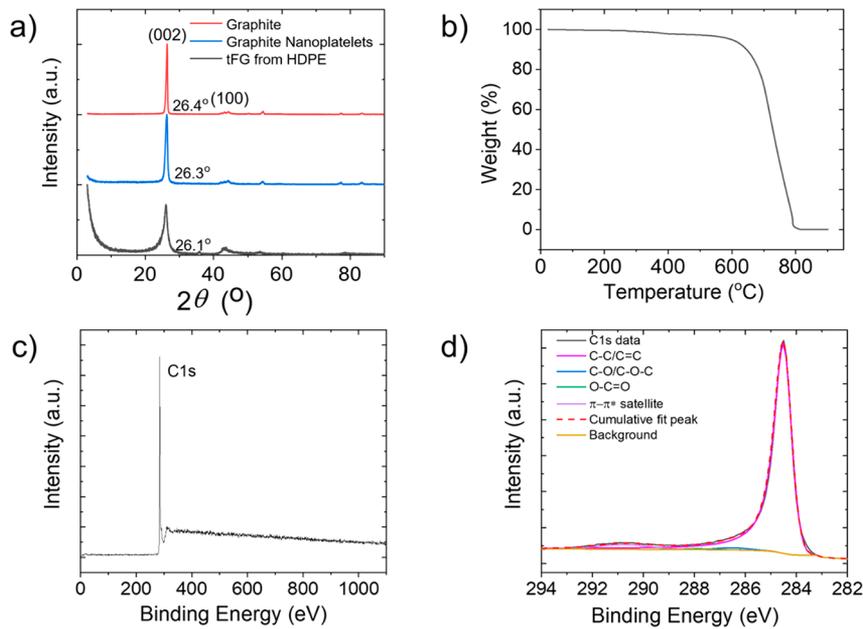


Figure 3. (a) XRD of ACDC-tFG from HDPE. (b) TGA (air, 15 $^\circ\text{C}/\text{min}$) of ACDC-tFG from HDPE and (c) survey XPS scan of ACDC-tFG from HDPE. (d) High-resolution C 1s XPS spectrum of ACDC-tFG from HDPE.

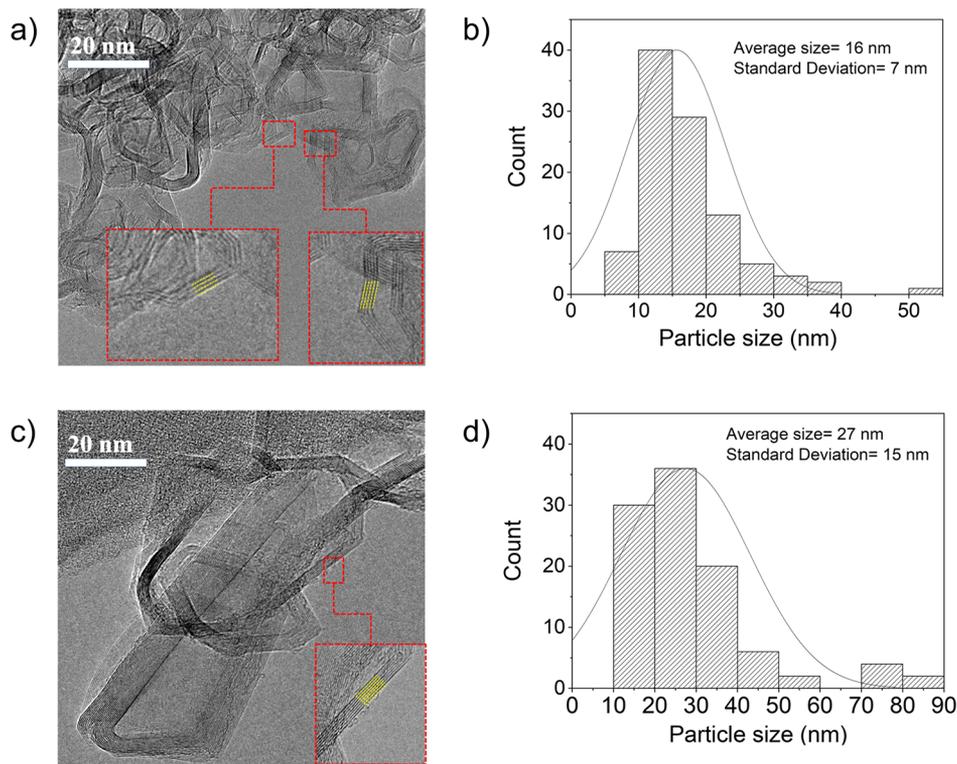


Figure 4. (a) TEM image of AC-FG from HDPE and (b) particle count of AC-FG ($n = 100$). (c) TEM image of ACDC-tFG from HDPE and (d) particle count of ACDC-tFG from HDPE ($n = 100$).

从不同PW产品中获得的ACDC-tFG的X射线衍射(XRD)显示两个峰出现在 $26.1(002)$ 和 $45^\circ(001)$ (图S21显示了不同塑料的tFG的XRD)。X-ray diffraction (XRD) of ACDC-tFG obtained from different PW products shows two peaks occurring at $26.1(002)$ and $45^\circ(001)$ (Figure S21 has XRD of tFG from different plastics). Compared to graphite and graphite nanoplatelets (Figure 3a), both of which have AB-stacked layers, ACDC-tFG has a (002) peak that occurs at a slightly lower 2θ with $I_c = 3.45 \text{ \AA}$, indicating larger interlayer distance between the ACDC-tFG sheets. The (002) peak of

有一个延伸到低 2θ 的尾部,这是由于ACDC-tFG层之间的旋转无序造成的。ACDC-tFG has a tail that extends to low 2θ , which is due to rotational disorder between the ACDC-tFG layers. Figure 3b shows the TGA of ACDC-tFG from the HDPE with thermal decomposition commencing at $\sim 625^\circ\text{C}$ in air. The high thermal stability is indicative of the high degree of crystallinity and low defects of the tFG structure, as defects often lower the thermal stability of graphene. A survey X-ray photoelectron spectroscopy (XPS) of ACDC-tFG from HDPE shows pure

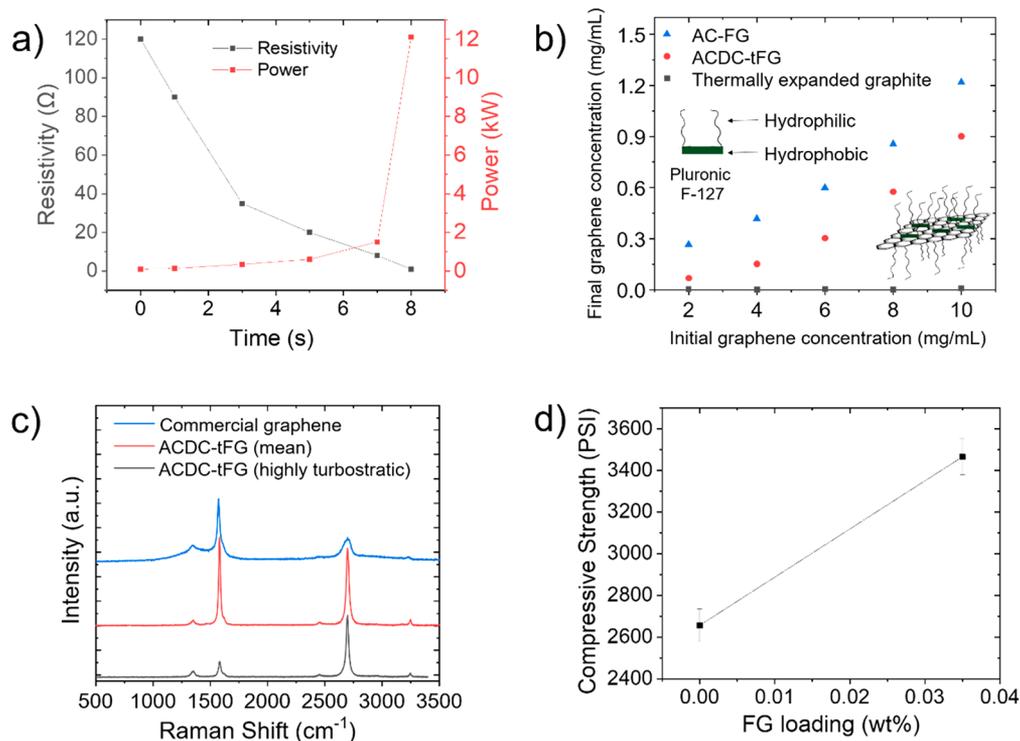


Figure 5. (a) Resistivity values and power consumed during the AC-FJH process of HDPE. (b) Bath-sonicated dispersion concentration of 1% Pluronic for thermally expanded graphite, AC-FG and ACDC-tFG from HDPE and in 1% Pluronic before (initial) and after (final) centrifugation. (c) Raman spectra of ACDC-tFG (from HDPE) and commercial graphene. (d) Compressive strength cement/AC-FG composites (FG from HDPE).

不存在可检测的杂原子的碳成分(图3c). carbon composition without the detectable presence of heteroatoms (Figure 3c). High-resolution carbon XPS displays in 284.5 eV出现较大的C/C峰. ACDC-tFG from HDPE (Figure 3d) shows large C-C/C=C peaks occurring at 284.5 eV. Trace C-O/C-O-C and O-C=O XPS peaks were observed at 286.5 and 288 eV, respectively. Note that PVC, which has ~50% chlorine content, formed high-purity FG upon flashing, without a detectable presence of chlorine by high-resolution XPS (Figure S22). This indicates that the FJH method is effective for handling PW that is otherwise difficult to repurpose. When flashing PVC, hydrochloric acid (HCl) is expected to be released during the AC-FJH process as one of byproducts along with other hydrocarbons. Similar to conventional chemical recycling, HCl can be separated from other effluents using a lime absorber.

AC-FG的TEM图像显示了高度石墨化的薄片(图4a),平均尺寸为16 nm(图4b). A TEM image of AC-FG shows highly graphitic sheets (Figure 4a) with an average size of 16 nm (Figure 4b). AC-FG comprise an average of four stacked turbostratic layers (Figure S23). From the TEM images, the spacing between the AC-FG layers was found to be 3.45 Å (Figure S24). Figure 4c shows a 27 nm ACDC-tFG的TEM图像(图4d),其大于AC-FG. TEM image of ACDC-tFG with an average sheet size of 27 nm (Figure 4d), which is larger than that of the AC-FG. This suggests that following the AC-FJH process with DC-FJH promotes the lateral growth of the ACDC-tFG sheets. The increase in sheet size upon DC-FJH agrees with the decrease in the D band in the Raman spectra because the intensity of the D band correlates to the surface to edges density; smaller graphene sheets often have higher D band intensities. DC-FJH was observed to result in an increase in the number of stacked ACDC-tFG的TEM图像显示,每页平均有六层tFG(图S25),平均层间距为3.45 Å. TEM images of ACDC-tFG show an average of six layers (Figure S25) of tFG per sheet with an average interlayer spacing of 3.45 Å. The interlayer distance calculations from the TEM images are included in Figure S26. The interlayer

的距离与XRD和Raman数据一致,支持ACDC-tFG的层状结构. The distance from the TEM images agrees with the XRD and Raman data that support the conclusion of the turbostratic morphology of ACDC-tFG. To calculate the energy required to convert mixed PW to FG, the resistivity across the sample was monitored during the FJH. The resistivity across the sample was observed to drop with time, as shown in Figure 5a. From 1.0 g of mixed PW, with 40% HDPE, 20% PP, 20% PET, 10% LDPE, 8% PS, and 2% PVC, its carbon content is 81 wt% (remaining H, O, and Cl). The mixed carbon content (the remainder being H, O, and Cl), the mixed PW forms 0.18 g (22% yield) of intermediate AC-FG with the remainder being volatilized compounds; some waxes were isolated from the sidewalls of the quartz tube (see below). The conversion of the 0.18 g of intermediate AC-FG into high-quality ACDC-tFG is nearly quantitative, hence there is a 22% overall yield of high-quality tFG from mixed PW following the combination ACDC-FJH protocol. When we start with 1.0 g of HDPE instead of mixed PW, the yield is 0.23 g (23% yield) since HDPE is 86% carbon. We presume that the yield of graphene can be substantially increased if we build a pressure vessel that can retain more of the volatile components during the FJH steps for higher overall conversion.

AC-FJH过程中消耗的能量为21 kJ/g. The energy consumed during the AC-FJH processes is ~21 kJ/g. The energy required for the DC-FJH is ~13 kJ/g, but we are only DC-FJH 0.18 g of the original 1.0 g of mixed plastic. In total, 23 kJ is required to convert 1.0 g of mixed PW into 0.18 g of high-quality tFG. The energy calculation is shown in Figure S27. This translates to \$124 in electricity cost to convert 1 ton of PW into 180 kg of high-quality tFG plus volatiles. This makes the cost of upcycling plastic using this technology competitive when compared to conventional

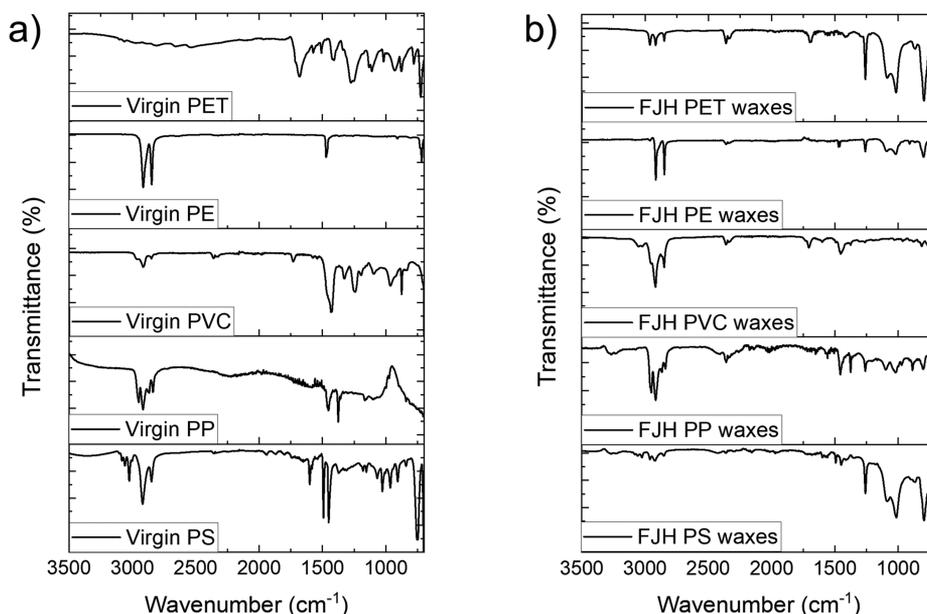


Figure 6. (a) AC-FJH前后塑料和(b)蜡的红外光谱。
Figure 6. (a) IR spectra of the plastics before and (b) waxes after AC-FJH.

物理和化学回收技术。目前,回收技术
physical and chemical recycling technologies. Currently,
并不经济,这导致生产回收塑料的成本高于原始塑料。
recycling technologies are not economical, which results in
producing recycled plastic that is higher in cost than virgin
plastic. This, in turn, leads to favoring the consumption of
virgin plastic over recycled plastic, increasing plastic pollution
and greenhouse gas emissions (see Table S1 for prices of
recycled and virgin plastics).

图5a揭示了tFG的形成机制。
Figure 5a gives insight into the mechanism of formation of
tFG. Prior to voltage application in the AC-FJH, we start with
a HDPE and CB mixture with high resistivity (low
conductivity). As we proceed with AC-FJH, the current
flows via the conductive CB generating a large amount of heat
that carbonizes the nonconductive plastic, causing the
resistivity to drop with time, forming carbon-rich AC-FG by
the end of the AC-FJH process. At this point, evident by the
collected Raman spectra in Figure 2a, AC-FG is not fully
graphitized and exhibits a considerable amount of disorder,
indicating that most of the applied energy in the AC-FJH
process is applied toward carbonizing plastics by removing
volatiles rather than graphitizing it. Upon DC-FJH of AC-FG,
the current is uniform across the AC-FG, generating heat that
graphitizes and heals the defects and disorder present in the
AC-FG to obtain high-quality tFG by the end of the ACDC-
FJH process.^{19,35}

石墨烯的分散度是影响石墨烯在复合材料中加工性能的重要参数之一。
The degree of graphene dispersibility is one of the important
parameters that influences the processability of graphene into
composites. Pluronic surfactants are low in price and often
used to make stable aqueous graphene dispersions because of
their hydrophilic tails and hydrophobic cores.^{42,44} The
dispersibility of FG was studied in 1% aqueous Pluronic F-
127 solution to find that dispersions with concentrations up to
1.2 mg/mL were attainable with AC-FG, as shown in Figure
5b. ACDC-tFG dispersions were lower in concentration than
that of AC-FG, which could be due to the larger sheet size of
ACDC-tFG compared to AC-FG. However, both AC-FG and
ACDC-tFG dispersion concentrations are significantly higher
than many concentrations reported in the literature.⁴²⁻⁴⁴ The
ability to achieve FG dispersions with high concentration is
likely due to the turbostratic morphology that makes it easier

克服FG层之间较弱的范德华相互作用。
to overcome weaker van der Waals interactions between the
FG layers. When working with graphite, exfoliation of the
layers only occurs when the net surface energy of the graphene
and the solvent is greater than the strong van der Waals
interactions between the AB-stacked layers. For this reason,
graphene dispersion from graphite usually requires costly
organic solvents and high sonication power, which are not
required for tFG dispersions. Therefore, dispersions made
from graphite had concentrations much lower than those from
tFG, making the utilization of tFG dispersions highly
advantageous (Figure 5b).<sup>其次,这里的结果还没有经过工业优化,如果逸出
的气体也能转化为石墨烯,那么使用压力电池可能会获得更好的结果。
been industrially optimized and would likely gain by using a
pressure cell have the escaping gases also convert to graphene.</sup>

此外,纳米tFG颗粒使tFG在少量表面活性剂存在下易于分散。
In addition, nanosized tFG particles make it easy to disperse
tFG in the presence of a small amount of surfactant. The
quality of tFG was benchmarked against the quality of
commercial graphene available on the market. tFG was
found to have a significantly better Raman spectrum with a
sharper 2D band and lower D band intensity (Figure 5c). Also,
tFG has dispersibility much better than that of commercial
graphene, indicating that tFG has better processability into
composites than commercial graphene. Given that the 98% of
low-quality graphene supplies are currently offering low-quality
graphene, producing tFG from PW on a commercial scale
could potentially elevate the quality of graphene available on
the market and accelerate the transition of graphene-related
technologies from laboratories to large-scale industries. To
demonstrate the usefulness of tFG, Portland cement
0.035 wt% of FG可将其抗压强度提高30% (图5d)。
composites of tFG derived from HDPE were tested to find
that adding 0.035 wt % of FG from HDPE increases the
compressive strength of Portland cement by 30% (Figure 5d).
This is due to the increased integrity of calcium-silicate
hydrates in cement via addition of tFG. Such enhancement
in the compressive strength by adding small fractions of tFG is
difficult to achieve with graphite or carbon fiber. For example,
adding 0.05 wt % graphite to cement, which is almost double
the loading of tFG in our composites, did not result in a
noticeable change in the compressive strength. This shows
the advantage of the tFG in large-scale applications where

石墨烯负载量小意味着复合材料的物理性能显著增强。

small graphene loading translates into significant enhancement in the physical properties of composites.

收集AC-FJH过程中形成的蜡状物质,并通过傅立叶变换红外光谱(FTR)进行分析,发现蜡是具有FTIR指纹的低聚物,类似于氧化程度较低的母体塑料,如图6所示。

The waxy substances formed during the AC-FJH process were collected and analyzed by Fourier transform infrared (FTIR) to find that the waxes are oligomers with FTIR fingerprints similar to the parent plastic with a low degree of oxidation, as shown in Figure 6. A schematic of the wax trap setup is shown in Figure S28. These oligomers can be mixed with petroleum hydrocarbon streams for processing into virgin plastic or can be used to produce additives for detergent composites. The yield of oligomers is <10%, indicating that ~60% of the flashed PW is transformed into gaseous product.

为了分析生成气体的成分,建立了一个闪蒸电极,电极表面钻有一个中心孔,旋转90°。以允许挥发物逸出(图S29)。

To analyze the composition of the generated gases, a flashing electrode with a central hole drilled on the electrode face and a 90° turn to permit volatiles to escape was built (Figure S29). The gases evolved during FJH of HDPE were captured and collected in a cold trap. An estimate of the effluent composition was calculated based on the vapor pressures of the volatile stream at -196 °C; -78 °C (dry ice bath); 23 and 60 °C, indicating that the process affords H₂/C₂H₄ in a 5:4.1 pressure ratio (non-molar ratio). If a similar amount of H₂ remains to be generated upon scaling, then the H₂ might be used in a fuel cell to generate clean supplemental electricity for the FJH process.

根据196 °C时挥发性的蒸汽压计算出水分成分的估计值;78 °C(干冰浴);23 °C和60 °C,表明该过程在-196 °C; -78 °C (干冰浴); 23 and 60 °C, indicating that the process affords H₂/C₂H₄ in a 5:4.1 pressure ratio (non-molar ratio). If a similar amount of H₂ remains to be generated upon scaling, then the H₂ might be used in a fuel cell to generate clean supplemental electricity for the FJH process.

在HDPE的FJH过程中释放的气体被捕获并收集在冷阱中。

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如果是在结束后仍需产生类似量的H₂ 5:4.1 pressure ratio (non-molar ratio). If a similar amount of H₂ remains to be generated upon scaling, then the H₂ might be used in a fuel cell to generate clean supplemental electricity for the FJH process.

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CONCLUSIONS

使用少量电力将PW转化为高价值材料的能力使世界更接近塑料中性。The ability to use small amounts of electricity to convert PW to higher value materials moves the world closer toward plastic neutrality. Using the FJH technology on a large scale to handle PW could potentially reduce the emissions of greenhouse gases in cradle to upcycle use of plastics;

然而,为了充分利用这种方法,还需要进行全生命周期分析。然而,为了充分利用这种方法,还需要进行全生命周期分析。然而,为了充分利用这种方法,还需要进行全生命周期分析。

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METHODS

材料。CB(平均直径10 nm,黑珍珠2000)购自卡特公司。Materials. CB (average diameter 10 nm, Black Pearls 2000) was purchased from Cabot Corporation. Recyclable PW was collected and separated based on type. The PW products reported in this work include PET from carbonated beverage bottles, HDPE from milk jugs or Polywize (Jacksonville, TX), PVC from plumbing pipes, LDPE from single use plastics bags, PP from disposable straws and food packaging, and PS from disposable coffee cups. The PW was sanded or cut using a Shanghai Ke Heng Industrial Co. cutter to obtain powders with grain sizes 1 to 2 mm. The powdered plastic was then mixed with 5 wt % CB to obtain a conductive mixture. One could substitute CB with FG, made in a prior reaction. In some cases used here, HDPE powder with grain size smaller than the 50 μm was purchased as virgin material from Millipore-Sigma.

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充电至110 V,并允许500 ms放电时间,以获得高质量FG。charged to 110 V and allowed 500 ms discharge time to obtain high-quality FG. The description of the DC circuit can be found in Figure S1.

表征。在50×物镜的Renishaw拉曼显微镜中,用532nm激光激发获得拉曼光谱。Raman spectra were obtained by excitation with a 532 nm laser in a Renishaw Raman microscope with a 50× objective lens. X-ray diffraction was performed using a Rigaku D/Max Ultima II powder XRD. TGA was performed on a Q50 TGA from TA Instruments. Transmission electron microscopy images were acquired using JEOL 2100F field-emission gun TEM at 200 kV. X-ray photoelectron spectroscopy spectra were collected with a PHI Quanterra SXM scanning X-ray microprobe with a base pressure of 5 × 10⁻⁹ Torr. Survey spectra were recorded using 0.5 eV step sizes with a pass energy of 140 eV. Elemental spectra were recorded using 0.1 eV step sizes with a pass energy of 26 eV. Fourier transform infrared spectra were collected using a Nicolet 6700 FTIR spectrometer from Thermo-Scientific equipped with a GoldenGate accessory.

分散剂。通过将FG悬浮在1 wt% Pluronic F-127溶液中并超声30分钟分散FG,制备浓度为1至10 g/L的FG溶液。Dispersions were prepared at concentrations from 1 to 10 g/L by suspending FG in 1 wt % Pluronic F-127 solution and sonicating for 30 min to disperse FG. After sonication, the dispersions were centrifuged in Beckman Coulter Allegra X-12 centrifuge equipped with a 19 cm in radius rotor at 1500 rpm (470 rcf) for 30 min to remove aggregates. The supernatant was diluted 500 times and analyzed via UV-vis (Shimadzu UV-3600 plus). The absorbance was recorded at 660 nm, and an extinction coefficient of α₆₆₀ = 6600 L·g⁻¹·m⁻¹ was used to calculate the concentration of graphene in solution.

水泥复合材料制备。FG与1 wt% Pluronic F-127在水中混合使用Silverson L5MA剪切混合器进行剪切混合。FG with 1 wt % Pluronic F-127 was shear mixed in water using a Silverson L5MA shear mixer for 15 min at the speed of 5000 rpm to create a dark dispersion. The dispersion was mixed with Portland cement using a dispersion to cement ratio of 0.40. Next, the slurry was cast in 4.90 × 4.90 × 4.90 cm PTFE cube molds (for compressive strength) and were allowed to set for 24 h. The compressive strength was measured after 7 days using a Forney variable frequency drive automatic machine with dual load cells.

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ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsnano.0c06328>.

Schematic of AC-FJH equipment, Raman spectra, energy conversion calculations and other graphs and data (PDF)

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Notes

The authors declare the following competing financial interest(s): Rice University owns intellectual property on the FG process which has been licensed to Universal Matter Ltd. JMT is a stockholder in Universal Matter Ltd. but not an officer or director. Conflicts of interest are managed by regular disclosure to the Rice University Office of Sponsored Programs and Research Compliance. C-Crete Technologies owns intellectual property on the strengthening of graphene-cement/concrete composites.

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