# Nondestructive flash cathode recycling

### Authors

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## **Abstract**

Effective recycling of end-of-life Li-ion batteries (LIBs) is essential due to continuous accumulation of battery waste and gradual depletion of battery metal resources. The present closed-loop solutions include destructive conversion to metal compounds, by destroying the entire three-dimensional morphology of the cathode through continuous thermal treatment or harsh wet extraction methods, and direct regeneration by lithium replenishment. Here, we report a solvent- and water-free flash Joule heating (FJH) method combined with magnetic separation to restore fresh cathodes from waste cathodes, followed by solid-state relithiation. The entire process is called flash recycling. This FJH method exhibits the merits of milliseconds of duration and high battery metal recovery yields of ~98%. After FJH, the cathodes reveal intact core structures with hierarchical features, implying the feasibility of their reconstituting into new cathodes. Relithiated cathodes are further used in LIBs, and show good electrochemical performance, comparable to new commercial counterparts. Life-cycle-analysis highlights that flash recycling has higher environmental and economic benefits over traditional cathode recycling processes.

#### **Teaser**

Spent Li-ion batteries with different chemistries can be effectively flash-recycled to generate fresh cathodes.

#### **MAIN TEXT**

#### Introduction

The ever-increasing demand for portable electronic devices and electric vehicles has accelerated the production of commercial secondary batteries, especially Li-ion batteries (LIBs) (1-3). The market for rechargeable LIBs reached  $\sim$ \$46 billion in 2022 and it is projected to be  $\sim$ \$190 billion in 2032, with a compound annual growth rate of  $\sim$ 15% (4). Furthermore, at the projected pace of Li and Co mining, the world's reserves of these battery metals will be unable to keep up with the demand by 2050 and 2030, respectively (5, 6). Since the expected life of most LIBs is <10 years, and often only 2 years (7), the foreseeable staggering accumulation of spent LIBs is disconcerting (9,10). While the spent anode is mainly graphite and therefore less expensive and environmentally benign, the spent cathode consists of Li and other transition metals, accounting for  $\sim$ 35% of the total weight and  $\sim$ 45% of the cost of LIBs (7). Therefore, an effective close-loop recycling of the spent cathodes is needed to minimize the environmental release of these battery metals, and to alleviate demand for remote mining (8, 9).

Previous recycling methods can be mainly categorized into two strategies: destructive and nondestructive recycling approaches (**Fig. 1A**). These depend on whether the integrity of the three-dimensional cathode structure is retained after the treatments. Typical destructive routes, including pyrometallurgy (10, 11), hydrometallurgy (12, 13), and bio-metallurgy (14), can reclaim the simple metals, oxides, or their salts from the cathode waste (CW) by destructing the entire three-dimensional morphology of the cathode using some harsh conditions, such as prolonged furnace-heating temperatures or caustic reagents. These destructive recycling strategies can involve complex procedures, consume considerable amounts of energy and water, and release much greenhouse gas (GHG) and secondary wastes (note S1), thereby increasing the cost of conversion back into the cathode morphologies (15).

The unique crystal structures of the cathode materials are as important as their chemical constitutions, as shown in the structure value estimation (table S1, note S2) (16, 17). Therefore, cathode healing through nondestructive strategies has recently gained more attention for battery recycling.

The direct recycling method is regarded as one of the nondestructive strategies since the bulk structure of cathode material is maintained during the whole process (18). Typically, the CW is washed by organic solvents, such as N-methyl-2-pyrrolidone (NMP), followed by low-temperature calcination to remove binder and carbon additives. Then, the pretreated CW is used as the reaction precursors for subsequent cathode resynthesis (**Fig. 1A**). The common resynthesis methods mainly include solid-state calcination (19, 20), hydrothermal regeneration (21, 22), mechanochemical or electrochemical relithiation (3, 23), molten salt repairing (24) and so on. However, the performance of the resynthesized cathode materials by direct recycling is sensitive to battery chemistries and states-of-health of the spent LIBs. The extent of surface structure degradation and impurity accumulation can lead to distinct electrochemical performance variations (table S2) (9, 10, 13, 15, 18-28) and abnormal capacity decay at the early stage for the resynthesized cathodes (9, 25).

Here, we disclose a solvent- and water-free flash recycling method, including flash Joule heating (FJH) (Fig. 1B) combined with magnetic separation, followed by solid-state relithiation to recycle the untreated CW (Fig. 1A). The FJH process is ultra-fast, and the particle structure is retained in the magnetic portion of the flashed product, called flash Joule heating cathode waste (FJH-CW). The other components, such as binder, cathode electrolyte interphase (CEI), conductive carbon and metal impurities, are either decomposed or magnetically separated in the flash recycling method. After solid-state relithiation, the resynthesized cathodes demonstrate the comparable electrochemical performance as the new commercial cathode materials, proving the efficiency of the flash recycling process. Life cycle assessment (LCA) comparisons to the present destructive and other nondestructive recycling methods demonstrate that the flash recycling method avoids the use of caustic reagents, and significantly reduces the total energy and water consumption, contributing to lower greenhouse gas (GHG) emissions and operational cost, and therefore highlighting the economic and environmental advantages to recycle spent batteries through flash recycling (Fig. 1C).

## **Results**

## Spent cathode treatment by FJH process.

In a typical FJH process (**Fig. 1B**), a mixture of cathode waste and conductive additives such as 10 wt% of carbon black or 20 wt% graphite from the anode waste, is slightly compressed inside a quartz tube between two electrodes (29-31). Safety notes (31) are listed in note S3. The capacitor banks in the circuit are used to provide electrothermal energy to the reactants for ~300 milliseconds (fig. S1). The carbon additives can surround the cathode particles and bridge the inner circuit to increase the electrical conductivity of the mixture. Therefore, the current will mainly pass through the conductive carbon and the generated electrothermal energy will transfer from these hot spots to adjacent cathode particles, contributing to the local carbothermal reduction at the surface of cathode particles (32). Since FJH is ultrafast, the momentary electrothermal process avoids most loss of volatile metals, such as Li, and preserves the particle morphology and their three-dimensional structure.

During the typical FJH process with a voltage of 150 V and a resistance of 3  $\Omega$ , the current passing through the sample is recorded to reach ~40 A in ~300 milliseconds discharge time (**Fig. 1D**). Therefore, the specific energy density is 0.31 kWh kg<sup>-1</sup> and specific input power reaches 3.73 kW g<sup>-1</sup>. The temperature is measured through a 16-channel optical fiber spectrometer by black-body radiation fitting (fig. S2) (33). The temperature is estimated to be ~2500 K and the ultrafast cooling rate is recorded at ~1.2 × 10<sup>4</sup> K s<sup>-1</sup> (**Fig. 1E**), confirming that the local carbothermal reduction can be thermodynamically favorable during the FJH process (**Fig. 1F**). For this work, we tested cathode wastes directly collected from spent LIBs, including LCO (LiCoO<sub>2</sub>), NMC (LiNi<sub>x</sub>Mn<sub>y</sub>Co<sub>z</sub>O<sub>2</sub>, normally referred as NMC*xyz*) and their mixtures of LCO and NMC (**Table 1**).

The flashed product includes a mixture of the ferromagnetic portion (FJH-CW, ~90 wt%) and non-ferromagnetic portion (~10 wt%) (**Fig. 1G** and fig. S3). A simple magnet can be used to extract the desired ferromagnetic portion (**Fig. 1A** and fig. S4). The non-ferromagnetic portion can be collected and re-flashed for further recycling, which is

discussed in figs. S5-6 and note S4. The generality of magnetic separation is demonstrated for common cathode chemistries, including LCO, NMC and mixtures thereof (fig. S7), as it is found in commercial CW recovered from spent LIBs from discarded laptop computer batteries.

## Recovery efficiencies of various battery metals.

At the high temperature of ~2500 K, the metal impurities can be reduced, while the other inert impurities can be separated by the subsequent magnetic separation (Fig. 2A). High recovery yields are essential for an effective close-loop recycling strategy (4). The recovery efficiencies from various flashed products are quantified using inductively coupled plasma mass spectroscopy (ICP-MS) (note S5). For FJH-LCO derived from waste LCO, the average recovery yields are ~94.2% for Co and ~96.3% for Li, respectively (Fig. 2B). Similar high recovery yields are obtained for other cathode chemistries, like FJH-NMC, with the recovery yields of ~95.0%, 96.8%, 96.5% and 94.0% for Li, Co, Ni and Mn, respectively (Fig. 2C). Compared with conventional pyrometallurgical methods (20, 34), a higher Li recovery yield can be achieved by the FJH process without compromising the recovery yield of Co (Fig. 2D). These values are also close to the leaching efficiencies by hydrometallurgical methods as shown in the blue region of Fig. 2D and table S3 (11, 13, 20, 34-44). Therefore, when these flashed products are used as the reaction precursors for subsequent cathode resynthesis, the total amounts of the extra salts are limited, indicating a larger economic margin. The same tendencies were observed in FJH-CW derived from spent CW with mixed ingredients, including Li (92.7%), Co (93.2%), Ni (96.2%) and Mn (97.8%), as shown in fig. S8.

The purities of the products derived from different recycling methods are important since these products will be used as the precursors for subsequent cathode resynthesis (Figs. 2E-F) (45-47). Recent work has demonstrated some metal impurities, such as Cu<sup>2+</sup> and Al<sup>3+</sup>, can result in the decay of cathode performance by either reducing the actual ratio of active cathode materials for the inert impurities (9), or imparting electrochemically capacities for electrochemical active impurities (45, 46). This deleterious effect can be severe due to the gradual accumulation of the impurities if multiple recycling loops are applied over the long term. It was reported that the Al and Cu contamination can induce the formation of secondary phase upon calcination, causing gradual specific capacity decay and polarization buildup at the cathode side (45, 46). Besides, these metal ions can be chemically reduced and subsequently deposited at the anode, which accelerates the self-discharging and causes local dendrite formation (47). Therefore, it is essential to control these impurities in the precursors, especially these metal contaminations within 100 ppm (9), which can be dissolved from the anode and cathode current collectors. However, there is no corresponding treatment to reduce the metal impurities for the direct recycling methods. For the waste LCO, the contents of Al and Cu are ~223.5 and ~18.2 ppm, respectively (Fig. **2E**), while the concentration of these metals are  $\sim$ 90.9 and  $\sim$ 8.2 ppm, respectively for FJH-LCO, corresponding to notable content decreases by ~60% and ~55% for Al and Cu, respectively, indicating that FJH process can greatly reduce these metal contaminations. A similar result is observed for FJH-NMC derived from waste NMC (Fig. 2F), where the concentrations of Al and Cu reduce from ~170.1 ppm and ~26.7 ppm to ~66.9 ppm and ~21.7 ppm, respectively, which are much lower than the safety content threshold of these impurities (~100 ppm, ref(9)). The removal of Cu and Al contamination can be achieved by the evaporation of these impurity metals during the momentary high temperature FJH process (fig. S9) (48-50), and subsequent magnetic separation since these contaminants are nonmagnetic.

## Morphology and structure of flash Joule heating products.

To explore the evolution of cathode materials during the FJH process, we analyze the morphology of spent CW and FJH-CW by scanning electron microscopy (SEM) (**Figs. 3A-B,** fig. S10). There is no obvious bulk structure change, and both spent CW and FJH-CW show similar bimodal size distributions about the primary particles (**Fig. 3C**) where the peak at ~2 μm is from the waste NMC while the other peak is contributed by waste LCO. The same phenomena are observed for pure LCO (fig. S11) and NMC (fig. S12), and there is a similar particle size distribution before and after FJH treatment. These two cathode particles can be distinguished by X-ray diffraction (XRD) analysis as shown in **Fig. 3D**. The layered structure of the FJH-CW is further evidenced by the (003) diffraction peaks of NMC and LCO at ~18.9° (26), indicating the existence of the intact core structure after FJH treatment. The nonmagnetic portion is mainly composed of the graphite conductive additive with some residual metal signals.

The surface morphology of the cathode particles is distinct before and after FJH treatment. For FJH-LCO particles, the formation of sub-microscale particles can be observed on the surface (**Fig. 3B**). To pinpoint the surface structural change, high-resolution transmission electron microscopy (HR-TEM) is conducted for new LCO (nLCO) cathode after FJH treatment. For FJH-nLCO, there is a distinct shell structure with the thickness of ~2 nm, contributing from magnetic cobalt oxide (**Fig. 3E**). The fast Fourier transform (FFT) patterns confirm the presence of spinel type  $Co_3O_4$  as shown in **Fig. 3F**. On the contrary, the layered core structure derived from the transition metal slab and Li slab can be distinguished beneath the shell. The corresponding FFT patterns at the core region confirm the cathode structure does not collapse after FJH treatment (**Fig. 3G**). The elemental analysis of cobalt also indicates that  $Co^{2+}/Co^{3+}$  ratio increased significantly, confirming that there is a valance state reduction of cobalt species at the surface of the cathode particles during the FJH treatment (fig. S13) (9). Similar phenomena on oxidation state reduction are observed for other cathode chemistries, such as new NMC (fig. S14).

Therefore, the appearance of magnetic properties underscores one of the most important aspects of the flash recycling method. The localized rapid heating and cooling can maintain the integrity of the particle, while triggering a carbothermal reduction of cathode particles limited to the surface only (**Fig. 3H**). Based on the energy calculation results (**Fig. 3I**) (9), the surface structure change can be more thermodynamically favorable as the decay of states-of-health for spent cathode materials, where a significant degree of delithiation is observed (**Fig. 3J**). The as-formed reduction products include CoO and Co<sub>3</sub>O<sub>4</sub> (**Fig. 1F**). The magnetic properties of a Co<sub>3</sub>O<sub>4</sub>/CoO film are simulated, presenting a magnetic moment of ~70 emu g<sup>-1</sup> for bulk phase (magnetic moment approximately one third of 219 emu g<sup>-1</sup> for Fe). The magnetic characteristics of oxide shells are partially reduced due to inherent disorder and size-effects displaying characteristics consistent with that of thin Co<sub>3</sub>O<sub>4</sub> films (51-53). The formation of this layer is critical for the subsequent magnetic

separation. The gradual nature of annealing is consistent with the ability to improve recycling yield through the re-flash treatment of the non-magnetic portion observed in the experiment. This simulation also indicates that FJH treatment can be more effective to treat heavily degraded cathode waste. The nanoscale structures of waste LCO and FJH-LCO are shown in fig. S15. Similar surface reconstruction to the spinel type Co<sub>3</sub>O<sub>4</sub> can be observed for FJH-LCO derived from waste LCO. Besides, this FJH treatment can affect the CEI, binder, and electrolyte residue. Combined with magnetic separation, most of impurities can be removed from the cathode waste, guaranteeing the resynthesis of cathodes.

# Characterization and electrochemical performance of resynthesized cathode.

The magnetic portion of the flashed product can be directly used for the subsequent solidstate resynthesis. Here, FJH-LCO is used as an example (Figs. 4A-C). The initial molar ratio between Li and Co is measured by ICP-MS to determine the extra amounts of Li<sub>2</sub>CO<sub>3</sub>, which will be mixed with FJH-LCO homogeneously for cathode relithiation. For the waste LCO, this Li/Co ratio is ~0.4 (Fig. 4D), which is similar to the value in FJH-LCO (Fig. 2A). The ratio for FJH-LCO is close to the stoichiometric ratio of pristing LCO, indicating effective lithium replenishment and cathode resynthesis. There is no obvious agglomeration of the resynthesized cathode materials derived from FJH-LCO, and each micro-grain-sized particle can be distinguished (Fig. 4A). This phenomenon is distinct from the resynthesized cathode prepared by the direct recycling method, where primary cathode particles stack together to form secondary particles with sizes up to  $\sim 100 \, \mu m$  (fig. S16), indicating that the flash recycling prevents agglomeration of resynthesized cathode materials. The existence of aggregates can prevent the full and close contact between the reaction precursors and lithium reagents during the resynthesis step, which can be another reason for the large performance difference. Furthermore, those nanoparticles formed at the surface of FJH-LCO disappear, reflecting the structure rearrangement after solid-state resynthesis (Fig. 4A).

The HR-TEM image and corresponding FFT patterns also confirm the formation of layered structure at the surface with a high crystallinity, and the intensity profile reflects the alternating Co slab and Li slab (**Fig. 4B**). This result is consistent with XRD analysis as shown in **Fig. 4C**, where highly crystalline LCO with the space group R3m is shown. In the XRD results, (003) peaks indicate the property of layered structure in lithiated metal oxides, and (104) peaks reflect the property of transition metal-oxygen bond basic units which forms the layered compounds. The intensity ratio between (003) and (104) peaks is defined as structure factor in the work, indicating the efficiency of crystallization. The lower value of structure factor reflects the cation mixing between transition metal and lithium and generally a decomposition of the layered character (20). This value is ~2.8 for the resynthesized LCO from the flash recycling method, which is much higher than the ~1.7 for regenerated LCO from the direct recycling method, and is comparable to the value of ~3.0 for new commercial LCO (fig. S17). The LCO from flash recycling shows high thermal stability with no obvious mass loss (<1 wt%) until 1273 K, which is comparable to the new commercial LCO (fig. S18).

The electrochemical properties are tested in coin cells for different cathode materials. The diffusion coefficient of Li<sup>+</sup> can be calculated by changing the scanning rates from 0.1 to

1.0 mV s<sup>-1</sup> in cyclic voltammetry (CV) analyses (fig. S19) (19). For the fitting results between the peak current ( $i_p$ ) and scanning rate ( $v^{1/2}$ ) as shown in **Fig. 4E**, the diffusion coefficient of flash recycled LCO is recovered from waste LCO, and the value is ~1.8× higher compared to direct recycling LCO during charging stage (fig. S19D). There is also a smaller interfacial polarization and charge transfer resistance for flash recycled LCO as calculated from electrochemical impedance spectroscopy (EIS) analysis, compared to waste LCO (**Fig. 4F**) and direct recycling LCO (fig. S20). Therefore, the flash recycled LCO can deliver a discharge specific capacity of 142, 123, 99 and 56 mAh g<sup>-1</sup> at the cycling rate of 0.2 C, 0.4 C, 0.8 C, and 1.6 C, respectively (fig. S21).

The voltage profiles of flash recycled LCO and commercial LCO at different cycle numbers are compared with the cycling rate of 0.2 C and areal capacity of ~1.5 mAh cm<sup>-2</sup> (**Fig. 4G**). Similar polarization curves during the charging and discharging stage can be observed. The overpotential is also much smaller than waste LCO when cycling at the same rate (fig. S22). After 100 cycles, the specific capacity of flash recycled LCO is ~128 mAh g<sup>-1</sup>, with the capacity retention of ~96.9% (**Fig. 4H**), demonstrating great improvement after flash recycling process. The specific capacity of commercial LCO is ~134 mAh g<sup>-1</sup>, with the capacity retention of ~95.5% after 100 cycles, which indicates that flash recycled LCO can achieve a similar electrochemical cycling stability. Therefore, the proposed flash recycling method is effective to regenerate the cathode materials from cathode waste.

# Economic and environmental analysis of flash recycling method.

Using GREET 2020 and EverBatt 2020 software packages developed by Argonne National Laboratory for determining the closed-loop life cycle analysis of LIBs (16, 17), we compared the efficiencies of flash recycling with different types of recycling processes, including the hydrometallurgical (**Fig. 5A**), pyrometallurgical (**Fig. 5B**), flash recycling (**Fig. 5C**) and direct recycling methods (note S1, tables S4-5). The prospective cradle-togate life cycle assessments (LCA) are applied, which consists of the processes from the collection of individual intermediates from ~1.00 kg spent LIBs (cradle) by different types of reactions to the production of ~0.35 kg cathode using these intermediates as the reaction precursors at the factory (gate). The usage of the cathode materials and their disposal (grave) are not considered in this part, since it is assumed that cathode materials produced by various methods have the same usage and recycling stages (54). A more detailed discussion about LCA is shown in note S1. The life cycle inventories with detailed parameters regarding the inputs and outputs of each individual step for the above methods are listed in table S5.

The proposed flash recycling method belongs to the nondestructive recycling strategy, which does not consume extra chemical reagents, such as hydrochloric acid (**Fig. 5D**), or energy to destroy the intrinsic cathode structures. Thus, the cradle-to-gate LCA (**Figs. 5D-H**) reflects that the flash recycling method avoids the use of concentrated HCl, and decreases the consumption of water and energy by  $\sim 83\%$  and  $\sim 62\%$ , respectively, compared to the hydrometallurgical method. Therefore, the GHG emissions and the cost can be reduced by  $\sim 72\%$  and  $\sim 58\%$ , respectively. These values are close to the direct recycling method, which is the other nondestructive recycling strategy for now. Larger improvement can be seen when comparing flash recycling with the pyrometallurgical

method. The flash recycling method reduces the water consumption by ~80%, energy consumption by ~67% and GHG emissions by ~82%, reflecting the decrease in the environmental footprint and leading to the decrease in estimated cost by ~41% (**Figs. 5D-H**) compared to the pyrometallurgical method. With increased interest in cathode materials possessing low Co content (55, 56), such as NMC622, NMC811 and NCA (57, 58), and non-Co-based systems (59-61) such as LiFePO<sub>4</sub> and LiNiO<sub>2</sub>, more efficient recycling with increased profit margins might be attainable by flash recycling using these ferromagnetic metals.

## **Discussion**

One of the severe challenges for the resynthesized cathodes is electrochemical reversibility, especially at the early stage (25). The electrochemically extracted Li<sup>+</sup> cannot be reversibly inserted into the previous positions because of the metal impurities or structural instability, especially at the cathode surface. Therefore, a fast capacity decay can be observed for the first tens of cycles, followed by a stable cycling thereafter. The phenomenon has been reported for the regenerated cathode materials in the previous work (table S2) (25), while it is not observed for a flash recycled cathode, highlighting the effectiveness of the flash recycling method to reduce the impurities and to improve structure stability.

To demonstrate the potential scalability of flash recycling method, the gram-scale FJH experiments are carried out for different cathode materials. The parameters can be seen in **Table 1**. There are several general strategies to maintain the specific energy density during the FJH treatment, including increasing the capacitance, flash repetitions, and voltages (9). The final flashed products are shown in fig. S23. Since laboratory kilogram-scale graphene production has been achieved using an automated system with a more demanding condition, including higher temperature of >3500 K and longer duration of several seconds (62), the FJH process can presumably be integrated into a similar continuous system for spent LIB recycling. Finally, since the FJH process is being industrially scaled to 1 ton per day scale per facility (63), manufacturability is attainable while minimizing dependence on freshly mined metal ores for the production of LIBs.

## **Materials and Methods**

#### **Materials**

The lithium cobalt oxide (LCO, 99.8% trace metals basis, 442704-100G-A) was purchased from Millipore-Sigma. Cathode nickel-manganese-cobalt (NMC, EQ-Lib-LNCM811) powder was purchased from MTI Corporation. Several different spent commercial lithium batteries were used for flash recycling, including battery-1 (LG Chem 112711, B052R785-9005A) obtained from Lenovo laptop computers, and battery-2 (18650-cylinder cells, LGDAHB21865-P308K034A3) obtained from local recycler at Houston, Texas. Carbon black (CB, APS 10 nm, Black Pearls 2000) was purchased from Cabot Corporation. Quartz tubing (ID = 4 mm, L = 6 cm) was used as the reactant FJH tube for small batches (200 mg per batch) and quartz tube (ID = 8 mm, L = 6 cm) was used for larger batches (800 mg per batch) in the experiments. The standard solutions for ICP tests included cobalt standard (1000  $\pm$  2 mg/L, 30329-100ML-F), lithium standard (998  $\pm$  4 mg/L, 12292-100ML), manganese standard (1003  $\pm$  5 mg/L, 74128-100ML), and nickel standard (998  $\pm$  4 mg/L, 28944-100ML-F), all of which were purchased from Millipore-Sigma. The nitric acid

(HNO<sub>3</sub>, trace metal grade, 1120060) was purchased from Fisher Chemical and hydrochloric acid (HCl, 99.999% trace metals basis, 339253-100ML) was purchased from Millipore-Sigma. Water (HPLC Plus, 34877-4L) was purchased from Millipore-Sigma. *N*-methyl pyrrolidone (NMP, >99.0%, 443778-500ML) was purchased from Millipore-Sigma. The milling ball (Yttrium stabilized ZrO<sub>2</sub>, 99.5%,  $R = 5 \pm 0.3$  mm) was purchased from MTI Corporation. The 1 M LiPF<sub>6</sub> in a mixture of n a mixture of ethylene carbonate (EC): ethyl methyl Carbonate (EMC) (V: V = 3:7) electrolyte (battery grade, DJYLD2S-LB005-250) were purchased from LaborXing.

#### FJH reaction

The FJH system was detailed in our previous publications (29, 30). A circuit diagram of the FJH setup and the FJH reaction box used in the experiments are shown in fig. S1 with essential safety precautions (note S1) for the FJH system (29). The spent Li-ion batteries were discharged on a circuit until the voltage was below 2.5 V and then the electrodes were collected by manually disassembling the spent batteries. The cathode waste was used after directly removing it from the spent electrodes. Unless specified otherwise, the cathode materials and the conductive additive (10 wt % carbon black or 20 wt % spent anode graphite) were mixed evenly by grinding with a mortar and pestle for ~10 min. The reactants were loaded into a quartz tube with an inner diameter of 4 or 8 mm. The mass loads in 4- and 8-mm tube were 200 mg and 800 mg, respectively. Graphite rods and copper wool were used as electrodes and spacers, respectively. They were used to compress the reactants as shown in Fig. 1B. The graphite rods were in contact with the sample in the quartz tube. The electrical energy was provided by a capacitor bank composed of multiple aluminum electrolytic capacitors (6 mF, Mouser #80-PEH200YX460BQU2) in the circuit with a total capacitance of 60 mF (4-mm tube) or 132 mF (8-mm tube). The capacitor bank was charged by a d.c. supply that could reach 400 V. The flash duration was controlled by an Arduino controller relay in the circuit acting as a high-speed switch. Various cathode wastes, waste LCO, waste NMC and cathode wastes combination of LCO and NMC were used to demonstrate the versatility of flash recycling method as listed in **Table 1**. After the FJH reaction, the reaction was permitted to cool for 3 min whereupon a commercial bar magnet with magnetic field strength ~5000 Oe was used to separate the ferromagnetic portion of the flash products. The mass ratio of the ferromagnetic portion was ~90 wt% and that of the nonmagnetic portion was ~10 wt%. The remaining ~10 wt% of flash product which was not captured by the magnet was collected and combined with minor portions from other FJH runs to be re-flashed, and the flash condition was the same as the one used for the primary flash. For the re-flash experiments, the small batch experiments (4-mm tube) were used as the demonstration. Thereby, ~60 wt% of re-flashed product can be magnetically recovered.

### Characterization

The reactant and flash-recycled products were characterized through scanning electron microscopy (SEM) using a FEI Helios NanoLab 660 DualBeam SEM at 5 kV with a working distance of 4 mm. Transmission electron microscopy (TEM) images and selected area electron diffraction (SAED) patterns were taken with a JEOL 2100F field emission

gun transmission electron microscope at 200 kV. Atomic resolution high-resolution TEM (HR-TEM) and high angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) images were taken with FEI Titan Themis S/TEM instrument at 80 keV after accurate spherical aberration correction. X-ray photoelectron spectroscopy (XPS) data were collected with a PHI Quantera SXM Scanning X-ray Microprobe with a base pressure of  $5 \times 10^{-9}$  Torr. Survey spectra were recorded using 0.5 eV step sizes with a pass energy of 140 eV. Elemental spectra were recorded using 0.1 eV step sizes with a pass energy of 26 eV. All of the XPS spectra were corrected using the C 1s peaks (284.8 eV) as reference. For the depth analysis, an Ar<sup>+</sup> ion sputtering source was used to etch the surface layer. The average etching rate was calibrated and was ~7 nm min<sup>-1</sup> in the experiment which can be further used to estimate the depth (8). X-ray diffraction (XRD) measurements were done by a Rigaku SmartLab Intelligent XRD system with filtered Cu Kαradiation (λ = 1.5406 Å). The reactants and flash products were analyzed on solid, dried samples using a Thermo Scientific Nicolet 6700 attenuated total reflectance Fourier-transform infrared (ATR-FTIR) spectrometer (Waltham, MA). The metal contents in the magnetic products obtained from different cathode materials were quantified using a Perkin Elmer Nexion 300 inductively coupled plasma mass spectroscopy (ICP-MS) and a PerkinElmer Optima 8300 inductively coupled inductively coupled plasma optical emission spectroscopy (ICP-OES) system. The samples were diluted with a 2% aqueous solution of nitric acid, and calibration curves were generated using 7 ICP standard solutions (blank solution, 1, 2, 5, 10, 25 and 50 ppm solutions), with the results used only from correlation coefficients that were greater than 0.999. For ICP-OES tests, the gas nebulizer flow rate range was set between 0.45 and 0.75 L min<sup>-1</sup>, and 2 wavelengths per element were used in the axial mode unless otherwise stated: cobalt (228.616 and 230.786 nm), lithium (670.784 nm—radial mode—and 610.362 nm), nickel (231.604 and 341.476 nm) and manganese (257.610 and 259.372 nm). The magnetic characterizations were completed by a Quantum Design Magnetic Property Measurement System (MPMS). All the samples were ground into powder and then sealed into polytetrafluoroethylene (PTFE) tape. The tape was twisted into a small sphere and then transferred into a plastic straw for magnetic test. TGA was performed on a Mettler Toledo TGA/DSC 3+ system. TGA and DSC data were collected at a heating rate of 10 °C/min under air. The air flow was set to 80 mL/min.

# Cathode materials resynthesis

The cathode materials were resynthesized from the ferromagnetic FJH cathode products and in the context, they are named as flash recycled cathode materials. ~1 g FJH cathode product was mixed with 0.2 g of lithium carbonate and then heated in a Mafu furnace (Carbolite RHF 1500). The sample temperature ramps to 800 °C with the heating rate of 20 °C min<sup>-1</sup> and is maintained at 800 °C for 12 h in the air. Afterwards, the sample is allowed to cool to room temperature. The direct recycling method was also applied to prepare the resynthesized cathode, called direct recycled LCO. 1.0 g of waste LCO cathode was mixed with 0.2 g of lithium carbonate and then heated in a Mafu furnace (Carbolite RHF 1500). The sample temperature ramps to 800 °C with the heating rate of 20 °C min<sup>-1</sup> and is maintained at 800 °C for 12 h in the air. Afterwards, the sample is allowed to cool to the room temperature.

#### **Electrochemical tests**

The resynthesized cathode material (areal capacity  $\sim 1.5$  mAh cm  $^2$ ) was used for the half-cell test. The cathode was prepared by grinding the mixture of resynthesized cathodes, conductive carbon black and poly(vinyl difluoride) (PVDF) at a mass ratio of 8:1:1. A small amount ( $\sim 2.5\times$  of the total mass) of *N*-methyl pyrrolidone was used to form a homogeneous slurry. The slurries were formed by ball milling at 1500 rpm for 20 min. The cathode current collector was Al/C foil with a thickness of 18  $\mu$ m. The slurry was applied to the Al/C foil by a doctor blade with blade spacing of 200  $\mu$ m. The electrode was dried using a built-in heating cover placed on top of the electrode at 70  $^{\circ}$ C for 2 h and then put in a vacuum oven overnight. The temperature and pressure of the vacuum oven were set at 70  $^{\circ}$ C and  $\sim 10$  mmHg. The area of the cathode was  $\sim 1.54$  cm<sup>2</sup>. The electrolyte used was 1 M LiPF<sub>6</sub> in a mixture of ethylene carbonate (EC): ethyl methyl carbonate (EMC) (V: V = 3:7). The volume of the electrolyte in each coin cell was 30  $\mu$ L. Before the electrochemical test, the cells were pretreated at 0.05 C and 0.1 C between 3.0 and 4.2 V for 5 cycles, respectively. Subsequently, the cells were galvanostatically cycled between 3.0 and 4.2 V at 0.2 C for the stability tests.

CV voltammograms were taken with different scan rates from 0.1 mV s<sup>-1</sup> to 1 mV s<sup>-1</sup> in the range of 3.0–4.2 V using a CHI 680D electrochemical workstation. EIS measurements were conducted on the same electrochemical workstation by applying an alternating voltage of 5 mV in the frequency range from 0.01 Hz to 1 MHz.

# Sample digestion, leaching and ICP-MS measurement

For all the cathode wastes and flash-recycled cathode materials, the contents of the battery metals, including lithium, cobalt, nickel, and manganese were measured.  $\sim\!10$  mg samples were digested in 5.0 mL *aqua regia* at 180 °C for 12 h. The *aqua regia* was prepared by mixing the nitric acid and hydrochloric acid in a molar ratio of  $\sim\!1:3$ . The samples were filtered with PES membrane (0.22  $\mu$ m) and diluted using HPLC plus grade water for ICP-MS measurement.

ICP-MS was conducted using a Perkin Elmer Nexion 300 ICP-MS system. Mix 1 for ICP (10 mg L<sup>-1</sup>, 10 wt% HNO<sub>3</sub>, Millipore Sigma) as the standard were purchased from Millipore-Sigma for the measurement of Li, Co, Ni, Mn, Al, Cu contents. The standard solutions were mixed and prepared at 1, 5, 10, 25, 50, 1000 ppb. The sample concentration was calculated from the calibration curve.

#### **Atomistic calculations**

Partially graphitized carbon structures were obtained by simulated annealing of a large periodic cell containing 30000 atoms with average density of 0.9 g/cm<sup>3</sup>. Simulations were carried out with LAMMPS software package employing AIREBO potential for interatomic interaction. After initial annealing at 400 K for  $2 \times 10^{-9}$  s, structures were heated to the target annealing temperature with a heating rate of  $0.5 \times 10^{-12}$  K s<sup>-1</sup> using a Nose–Hoover thermostat (canonical NVT ensemble) with a temperature damping parameter of  $0.025 \times 10^{-12}$  s. The structures were held at the target annealing temperatures for  $9 \times 10^{-9}$  s.

Theoretical simulations were performed using first-principles density functional theory (DFT) calculations, as realized in the VASP software package. PAW potentials are employed for all species and the wave functions were expanded in a plane wave basis with energy cutoff of 400 eV. All calculations are spin-polarized and employ the Perdew–Burke–Ernzerhof (PBE) exchange-correlation functional. Spin–orbit coupling was included in all of the calculations. Rotationally invariant variant of the LSDA+U was employed. All structures underwent unrestrained structural relaxation until the forces on all atoms were less than  $10^{-3}$  eV/Å.

# **Economic and environmental analysis**

The GREET 2020 and EverBatt 2020 software, developed through Argonne National Laboratories, was used to estimate the cost and environmental impact in adopting different recycling processes. For comparison, the cathode materials derived from virgin sources were also analyzed. Our analysis was focused on the cumulative energy use, GHG production and the potential net profit during the various recycling processes. More detailed discussion can be seen in Supplementary text.

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**Author contributions:** W.C. and J.M.T. conceived of the FJH process for cathode recycling. W.C., Y.C. and J.C. conducted the synthesis and characterizations with the help of C.G. R.V.S. helped with the resynthesis of the cathode materials and discussions on the battery testing. J.T.L. conducted the SEM and EDS. D.X.L. aided with the temperature measurement and C.K. helped with the sample digestion before ICP-OES. Y.C. and J.C. conducted ICP-MS test. E.A.M. conducted some TGA tests. G.G and Y.H. assisted with the TEM and HAADF-STEM. B.D. offered useful suggestion. K.V.B. under the direction of B.I.Y. performed the atomistic modeling and wrote that section of the work. W.C., Y.C., J.C., R.V.S and J.M.T. wrote the manuscript. All aspects of the research were overseen by J.M.T. All authors discussed the results and commented on the manuscript.

**Competing interests:** Rice University owns intellectual property on the flash recycling process disclosed here. That intellectual property is currently unlicensed. The authors claim no current conflicts of interest.

**Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials

# **Figures and Tables**

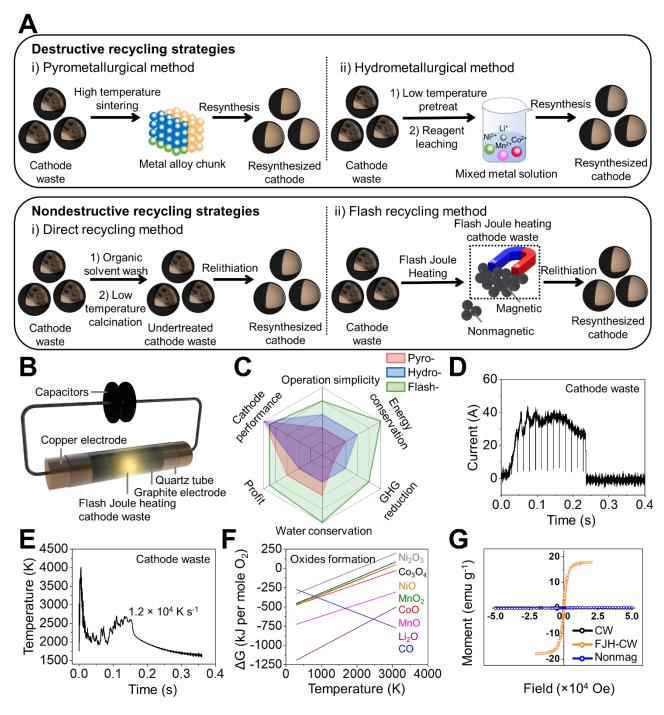
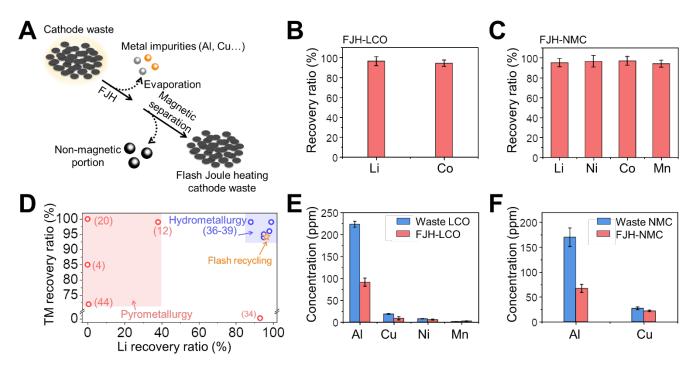


Fig. 1. Spend cathode recycling by rapid electrothermal process. (A) The scheme about destructive and nondestructive recycling process, categorized by whether the integrity of the three-dimensional structure of the cathode is retained. The final resynthesis step is shown to highlight the individual precursors from each method. (B) The scheme about flash Joule heating process. (C) The radar plot related to comparison among different recycling strategies. (D) Current-time curve and (E) Real-time temperature measurement obtained from cathode waste. (F) Ellingham

diagram of carbon monoxide and various metal oxides. **(G)** The magnetic response of cathode waste (CW, black), ferromagnetic portion of flash Joule heating cathode waste (FJH-CW, orange) and the non-ferromagnetic portion (nonmag, blue). CW: cathode waste. FJH-CW: flash Joule heating cathode waste. Hydro: hydrometallurgical method. Pyro: pyrometallurgical method. Flash: flash recycling method.



**Fig. 2. Recovery efficiencies of various battery metals.** (A) Schematic showing the removal of common metal impurities by evaporation during rapid electrothermal process and the removal of other inert impurities by subsequent magnetic separation. (B) Recovery yields of Li and Co in the ferromagnetic portion of flash Joule heating LCO (FJH-LCO). The error bars reflect the standard deviations from at least three individual measurements. The same below. (C) Recovery yields of Li, Co, Ni, and Mn in the ferromagnetic portion of flash Joule heating NMC (FJH-NMC). (D) Comparison of recovery yields of Li and TM by different recycling methods, with references noted. (E) The concentration of impurity metals in waste LCO and FJH-LCO. (F) The concentration of impurity metals in waste NMC and FJH-NMC. TM: transition metals.

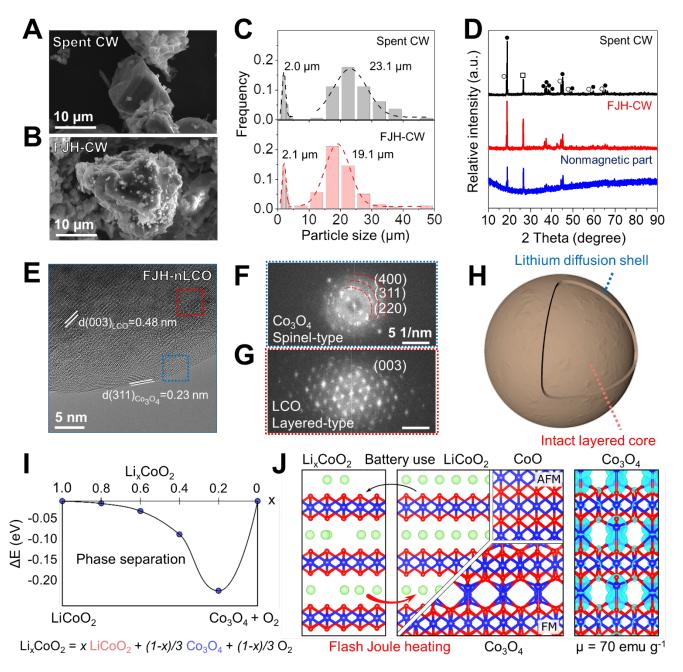
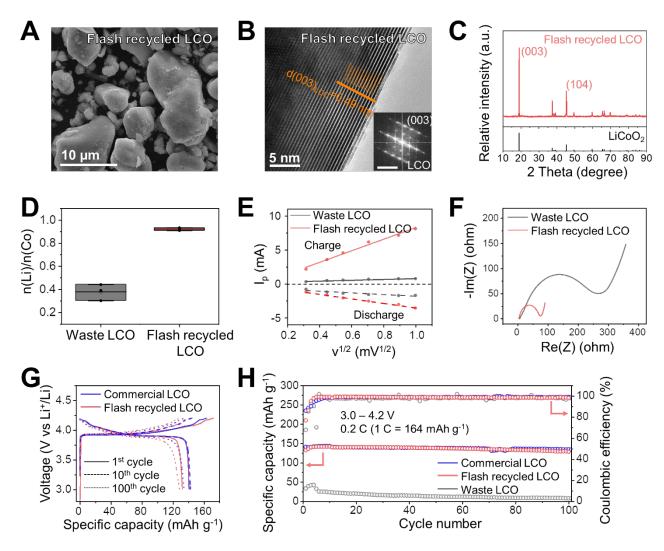


Fig. 3. Morphology and structure of flash Joule heating products. SEM images of (A) spent CW comprised of waste LCO and waste NMC, and (B) FJH-CW. (C) The size distribution of primary particles from spent CW particles and FJH-CW. The number of particles N = 200. (D) The XRD spectra of spent CW (black), FJH-CW (red) and non-ferromagnetic flash product (blue). Square: Graphite. Hollow circle: NMC. Solid circle: LCO. (E) HR-TEM images of the FJH-new LCO (nLCO) particles. (F, G) Fast Fourier transform results of FJH-nLCO particles from different areas in Fig. 3E. (H) The scheme showing the hierarchical structure of the FJH-LCO particles. (I) Energy preference towards phase segregation of spent LCO cathode. Adapted from previous work (9). (J) Atomistic structure of partially de-lithiated Li<sub>x</sub>CoO<sub>2</sub> before FJH treatment and high-quality LiCoO<sub>2</sub>, Co<sub>3</sub>O<sub>4</sub> and CoO obtained after FJH treatment. The right panel shows the magnetization

of  $Co_3O_4$  by plotting the computed spin polarization density isosurface at 0.02 e<sup>-</sup>/Å<sup>3</sup> total magnetic moment of ~70 emu g<sup>-1</sup>. FJH-CW: flash Joule heating cathode waste. FM: ferromagnetic. AFM: antiferromagnetic.



**Fig. 4.** Characterization and electrochemical performance of resynthesized cathode. (A) SEM image of resynthesized cathode from FJH-LCO (flash recycled LCO). (B) TEM image of flash recycled LCO. The intensity profile in the image shows the alternative TM slab and Li slab, reflecting the layered cathode particles. The inset shows the fast Fourier transform results, confirming the layered structure. (C) The XRD spectrum of flash recycled LCO. Powder diffraction file: 00-062-0420, LiCoO<sub>2</sub>. (D) Molar ratio between cobalt and lithium from waste LCO and flash recycled LCO. (E) Comparison of diffusion coefficients of Li<sup>+</sup> in waste LCO and flash recycled LCO. (F) Electrochemical impedance spectroscopy of waste LCO and flash recycled LCO. (F) Voltage profiles of commercial LCO and flash recycled LCO at different numbers of cycles. (H) Cycling performance of commercial LCO, flash recycled LCO and waste LCO with a Li anode at 0.2 C. I<sub>p</sub>: peak current.

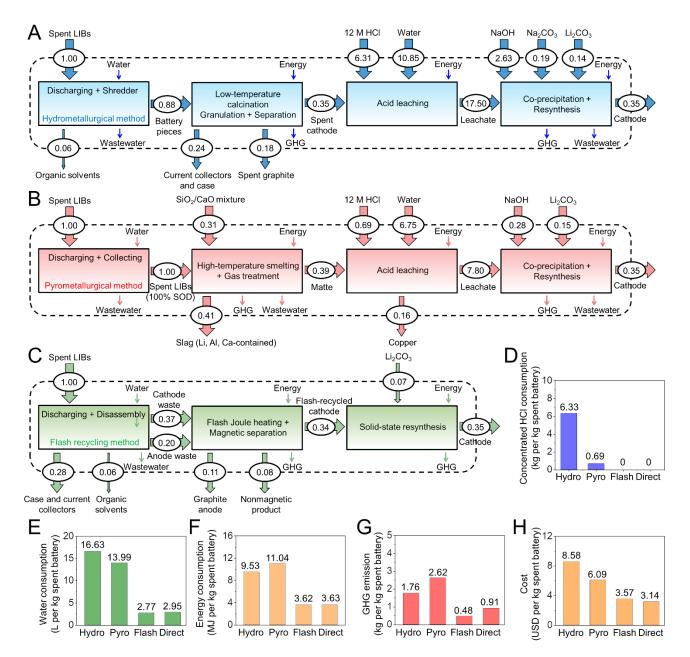


Fig. 5. Economic and environmental analysis of flash recycling method. (A-C) Process flow diagrams of various spent lithium-ion battery recycling routes, displaying the lifecycle inventory including all considered inputs and outputs. Incidental inputs and outputs are shown with small arrows to differentiate them from explicit inputs and outputs. (A) Hydrometallurgical method. (B) Pyrometallurgical method. (C) Flash recycling method. The unit is kg for all material flow. (D) Concentrated 12 M HCl consumption in treating 1 kg of spent batteries. (E-H) Water consumption, energy consumption, greenhouse gas emission, and cost analysis in treating 1 kg of spent batteries followed by producing ~0.35 kg cathode materials from their individual precursors. Hydro: hydrometallurgical method. Pyro: pyrometallurgical method. Flash: flash recycling method. Direct: direct recycling method. GHG: greenhouse gas.

**Table 1.** The flash conditions of different cathode materials

	FJH-nLCO	FJH-nNMC	FJH-CW
Reactant component	90 wt% new LCO and 10 wt% CB	90 wt% new NMC and 10 wt% CB	80 wt% cathode waste and 20 wt% spent graphite
Mass	200 mg per batch	200 mg per batch	150 mg per batch
Reaction atmosphere	Ar	Ar	Ar
Reactant resistance/ohm	3	3	3
Voltage/V	120	120	150
Reaction time/ms	300	150	300
Capacitance/mF	60	60	60

	FJH-nLCO (gram- scale)	FJH-nNMC (gram- scale)	FJH-CW (gram- scale)
Reactant component	90 wt% new LCO and 10 wt% CB	90 wt% new NMC and 10 wt% CB	80 wt% cathode waste and 20 wt% spent graphite
Mass	800 mg per batch	800 mg per batch	600 mg per batch
Reaction atmosphere	Ar	Ar	Ar
Reactant resistance/ohm	3	3	3
Voltage/V	120	120	150
Reaction time/ms	300	150	300
Capacitance/mF	132	132	132

	FJH-LCO	FJH-LCO (gram- scale)	FJH-NMC
Reactant component	80 wt% waste LCO and 20 wt% CB	80 wt% waste LCO and 20 wt% CB	80 wt% waste NMC and 20 wt% CB
Mass	150 mg per batch	600 mg per batch	150 mg per batch
Reaction atmosphere	Ar	Ar	Ar
Reactant resistance/ohm	3	3	3

Voltage/V	150	150	150
Reaction time/ms	300	300	300
Capacitance/mF	60	132	60